



PATENT  
Attorney Docket No.: SAM-0203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dong-Jun Kim, *et al.*  
Serial No.: 10/039,126  
Filing Date: January 3, 2002  
Title: SEMICONDUCTOR DEVICE HAVING A FLASH MEMORY CELL AND  
FABRICATION METHOD THEREOF

Examiner: Nhu, D.  
Group Art Unit: 2818

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to BOX NON-FEE AMENDMENT, Assistant Commissioner for Patents, Washington, DC 20231.

4-8-03

Date

Vanessa Marakas

Vanessa Marakas

BOX NON-FEE AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

Sir:

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity. A verified statement:  
☐ is attached.  
☐ was already filed.  
☒ other than small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136

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Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$110.00	\$55.00
<input type="checkbox"/> two months	\$410.00	\$205.00
<input type="checkbox"/> three months	\$930.00	\$465.00
<input type="checkbox"/> four months	\$1,450.00	\$725.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

CLAIMS AS AMENDED						
	(1)		(2)	(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	16	minus	26	0	x \$18	\$0
INDEPENDENT CLAIMS	2	minus	3	0	x \$84	\$0
MULTIPLE DEPENDENT CLAIM ADDED	No				\$280	
				TOTAL		\$0
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2 and enter amount here.				SMALL ENTITY TOTAL		

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(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$\_\_\_\_\_

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$\_\_\_\_\_  
☐ Charge Deposit Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

Respectfully submitted,

Date: April 8, 2003  
Mills & Onello LLP  
Eleven Beacon Street, Suite 605  
Boston, MA 02108  
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Facsimile: (617) 742-7774  
J:\SAM\0203\amendmentatrans.wpd

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Registration Number 38,572  
Attorney for Applicant



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8/a  
S. Markey  
4-17-03

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*Vanessa Marakas*  
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Assistant Commissioner for Patents

Washington, DC 20231

AMENDMENT A

Sir:

The following is in response to the Office Action dated January 8, 2003, and is filed within the shortened statutory period of three months. Please amend the application as follows.

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